# REAL-VALUED AND HYBIRD GENETIC ALGORITHMS FOR POLYPEPTIDE STRUCTURE PREDICTION

Charles E. Kaiser, Gary B. Lamont, Laurence D. Merkle Department of Electrical and Computer Engineering Graduate School of Engineering Air Force Institute of Technology lamont@afit.af.mil
George H. Gates, Jr., Ruth Pachter Wright Laboratory 3005 P St., Ste. 1
Wright-Patterson AFB, OH 45433-7702 {gatesgh, pachterr}@ml.wpafb.af.mil

**Key Words:** Genetic Algorithms, Hybrid Genetic Algorithms, Polypeptide Structure Prediction, Protein Folding, Real-Valued Genetic Algorithms.

# Abstract

Energy minimization efforts to predict polypeptide structures assume their native conformation corresponds to the global minimum free energy state. Given this assumption, the problem becomes that of developing efficient global optimization techniques applicable to polypeptide energy models. This general structure prediction objective is also known as the protein folding problem. Our prediction algorithms, based on general full-atom potential energy models, are expanded to incorporate domain knowledge into the search process. Specifically, we evaluate the effectiveness of a realvalued genetic algorithm exploiting domain knowledge about certain dihedral angle values inorder to limit the search space. We contrast this approach with our hybrid binary genetic algorithms. Various experiments apply these techniques to minimization of the potential energy for the specific proteins [Met]-Enkephalin and Polyalanine using the CHARMM energy model.

# 1 Introduction

Given only the amino acid sequence for an arbitrary polypeptide, the prediction of it's native conformation (i.e., molecular structure) is beyond current computational capabilities. This structure prediction problem is commonly referred to as the *protein folding problem* and it's solution has numerous potiential applications [3]. Efforts to solve it nearly always assume that the native conformation corresponds to the global minimum free energy state of the system. Given this assumption, a necessary step in solving the problem is the development of efficient global energy minimization techniques. This is a difficult optimization problem because of the non-linear and multi-modal nature of the energy function. The pentapeptide [Met]-Enkephalin, for example, is estimated to have more than  $10^{11}$  locally optimal conformations. Energy minimization is discussed in slightly more detail in Section 2. Vásquez et al. [31] has reviewed the literature of polypeptide conformational energy calculations. For detailed insight into the protein folding problem consult [4, 23, 13, 26].

One class of optimization algorithms which has been applied to the energy minimization problem is that of genetic algorithms (GAs), which are described elsewhere (e.g. Bäck [1], Goldberg [7], Holland [8], Michalewicz [19]). The energy models to which GAs have been applied vary from lattice representations [5, 30] to simplified continuum proteins [9, 10, 27], fixed backbones [25, 29], polypeptide-specific full-atom models [14, 16], and general full-atom models [6, 18, 25].

In some cases (e.g. [14, 29]), the genetic algorithm performs a search of conformations constructed from a library of frequently occurring locally optimal single residue conformations (*rotamers*). This approach may be viewed as a sequentially hybrid approach, in which efficient local optimization of single residue conformations precedes global optimization via genetic algorithm of the overall polypeptide conformation.

Similarly, McGarrah and Judson [16] use a build-up

approach including step-wise local minimization to construct their initial population. Their hybrid algorithm also periodically performs local minimization, and uses the resulting energies as the fitnesses of the corresponding individuals. The individuals are never altered following the local minimization. This is in contrast to one of the algorithms studied earlier by Judson et al. [9] in which individuals are always replaced by their locally optimized structures. Unger and Moult [30] propose a hybrid, similar to the latter, in which each individual undergoes 20 steps of simulated annealing before selection is performed. Simulated annealing has also been applied to a variety of protein energy models [21].

We have proposed [18] hybrid genetic algorithm variations which incorporate efficient gradient based minimization directly in the fitness evaluation, which is based on a general full-atom potential energy model. The algorithm includes a *replacement frequency* parameter  $p_r$  which specifies the probability with which an individual is replaced by its minimized counterpart. Thus, the algorithm can implement either Baldwinian  $(p_r = 0)$ or Lamarckian  $(p_r = 1)$  evolution [32], or more generally probabilistically Lamarckian  $(0 \leq p_r \leq 1)$  evolution. This approach has resulted in energy values smaller than those found in the current literature, although the associated polypeptide conformations are somewhat different than those achieved by other researchers due to symmetry such as the symmetric positioning of the end residues.

Here we introduce the **REGAL** (REal-valued Genetic Algorithm, Limited by constraints) approach to polypeptide structure prediction. It's based on the *Evolution Program* concept of Michalewicz [19]. That is a genetic algorithm is transformed into a *stronger* algorithm by incorporating "natural" data structures (usually real-valued) that capture problem specific domain knowledge, thus limiting the algorithm to a specific problem, but enhancing its effectiveness. Such an approach is consistent with Kauffman's NK model [12] in which the use of real-valued alleles tends to provide for easier GA population movements towards global optimum vs binary-encoded alleles.

We describe experiments comparing the effectiveness of the real-valued genetic REGAL algorithm to that of our previously developed hybrid GAs [18, 17]. We test this approach on two molecular structures (Section 3). Conclusions are presented in Section 4, and Section 5 discusses directions for future research. The following section presents the methodology that we employ for the binary and real-valued GAs.

# 2 Methodology

In this section we discuss the objective function associated with our polypeptide energy minimization application (Section 2.1) as well as binary and real-valued encoding scheme Section 2.2). We discuss the implementation of the real-valued GA in Section 2.3 and briefly review our minimization technique in Section 2.4.

### 2.1 Objective Function

Our objective function, which we seek to minimize, is based on the CHARMM [2] energy function

$$E = \sum_{(i,j)\in\mathcal{B}} K_{r_{ij}}(r_{ij} - r_{eq})^{2} + \sum_{(i,j,k)\in\mathcal{A}} K_{\Theta_{ijk}}(\Theta_{ijk} - \Theta_{eq})^{2} + \sum_{(i,j,k,l)\in\mathcal{D}} K_{\Phi_{ijkl}}[1 + \cos(n_{ijkl}\Phi_{ijkl} - \gamma_{ijkl})] + \sum_{(i,j)\in\mathcal{N}} \left[ \left(\frac{A_{ij}}{r_{ij}}\right)^{12} - \left(\frac{B_{ij}}{r_{ij}}\right)^{6} + \frac{q_{i}q_{j}}{4\pi\varepsilon r_{ij}} \right] + \frac{1}{2} \sum_{(i,j)\in\mathcal{N}'} \left[ \left(\frac{A_{ij}}{r_{ij}}\right)^{12} - \left(\frac{B_{ij}}{r_{ij}}\right)^{6} + \frac{q_{i}q_{j}}{4\pi\varepsilon r_{ij}} \right]$$
(1)

where the five terms (which we denote  $E_{\mathcal{B}}$ ,  $E_{\mathcal{A}}$ ,  $E_{\mathcal{D}}$ ,  $E_{\mathcal{N}}$ ,  $E_{\mathcal{N}'}$ ) represent the energy due to bond stretching, bond angle deformation, dihedral angle deformation, non-bonded interactions, and 1-4 interactions, respectively. Specifically,

- $\mathcal{B}$  is the set of bonded atom pairs,
- $\mathcal{A}$  is the set of atom triples defining bond angles,
- $\mathcal{D}$  is the set of atom 4-tuples defining dihedral angles,
- $\mathcal{N}$  is the set of non-bonded atom pairs,
- $\mathcal{N}'$  is the set of 1-4 interaction pairs,
- $r_{ij}$  is the distance between atoms *i* and *j*,
- $\Theta_{ijk}$  is the angle formed by atoms i, j, and k,
- $\Phi_{ijkl}$  is the dihedral angle formed by atoms i, j, k, and l,
- $q_i$  is the partial atomic charges of atom i,
- the  $K_{r_{ij}}$ 's,  $r_{eq}$ 's,  $K_{\Theta_{ijk}}$ 's,  $\Theta_{eq}$ 's,  $K_{\Phi_{ijkl}}$ 's,  $\gamma_{ijkl}$ 's,  $A_{ij}$ 's,  $B_{ij}$ 's, and  $\varepsilon$  are empirically determined constants (taken from the QUANTA parameter files).

The CHARMM energy model seems to be the most complex of those available for polypeptide prediction including AMBER and ECEPP/2 which we have employed elsewhere [17]. The primary determinants of a protein's 3-D structure, and thus the energetics of the system, are its independent dihedral angles [31]. Our genetic algorithm operates on individuals which encode these dihedral angles [6]. In Equation 1, E is expressed as a function of both the internal coordinates (bond lengths  $r_{ij}$  for  $(i, j) \in \mathcal{B}$ , bond angles  $\Theta_{ijk}$ , and dihedral angles  $\Phi_{ijkl}$ ) and the inter-atomic distances  $r_{ij}$  for  $(i, j) \in \mathcal{N} \cup \mathcal{N}'$ . Thus, in order to calculate E (and hence the fitness) for the conformation encoded by an individual, it is necessary to calculate its Cartesian coordinates from its internal coordinates. We use the transformation method proposed by Thompson [28]. This method requires at most one  $4 \times 4$  matrix multiplication per atom per conformation.

### 2.2 Encoding Scheme

Two specific biomolecules are investigated based on variety of reasons. The first is the pentapeptide [Met]-enkephalin<sup>1</sup>. This molecule is chosen because it has been used as a test problem for many other energy minimization investigations (e.g. [14, 22]), and its minimum energy conformation is known (with respect to the ECEPP/2 energy model). The second is a 14 residue model of Polyalanine. That is, it is a homogeneous molecule made up of 14 residues of the amino acid alanine. An amino acid becomes a residue when a water  $H_2 O$  molecule is freed during the formation of the peptide bond. Its native structure is an  $\alpha$ -helix.

[Met]-enkephalin has 75 atoms. In it, 24 dihedral angles are treated as independent, the rest are either fixed, or treated as dependent. Polyalanine has 143 atoms. In it, 56 dihedral angles are treated as independent.

#### 2.2.1 Binary Representation

In the binary representation, each individual is a fixed length binary string encoding the independent dihedral angles of a polypeptide conformation. The decoding function used is the affine mapping  $D : \{0,1\}^{10} \rightarrow [-\pi,\pi]$  of 10 bit subsequences to dihedral angles such that

$$D(a_1, a_2, \dots, a_{10}) = -\pi + 2\pi \sum_{j=1}^{10} a_j 2^{-j}.$$
 (2)

This encoding yields a precision of approximately one third of one degree.

Recall that 24 dihedral angles determine [Met]enkephalin's structure, hence its string length is 240. Likewise, 56 dihedral angles determine Polyalanine's structure, hence its string length is 560.

#### 2.2.2 Real-valued Representation

In the real-valued representation each individual is vector of real variables,

$$\vec{x} = (x_1, \dots, x_n) \in \mathbf{R}^n.$$
(3)

For dihedral angle  $-\pi \leq x_i \leq \pi$ . However, since  $\pi$  cannot be accurately represented as a discrete value in a digital computer, the interval [-3.15, 3.15] is used. In the vernacular of Genocop-III (Section 2.3), the term *domain constraint* is used to indicate these bounds on the range of the variables.

### 2.3 **REGAL** Implementation

Our real-valued implementation involves the integration of our previously developed molecular and energy models with the Genocop-III algorithm developed by Michalewicz and Nazhiyath[20]. Genocop-III is a coevolutionary algorithm implementation for numerical optimization. It deals with the problem of infeasible candidate solutions in constrained problems by repairing, rather than penalizing. This is done by maintaining two populations, a Search population,  $P_s$ , whose members are feasible for linear constraints, and a Reference population,  $P_r$ , whose members are feasible for all constraints. A unique domain can be defined for each variable, else it defaults to R. Also, any number of linear inequalities, nonlinear equalities, nonlinear inequalities may be defined. Figure 1 reflects the general GA structure of the Genocop III stochastic search algorithm. Figure 2 presents the critical population evaluate operator which includes a repair function. The set of alter operators are defined in Section 2.3.4 and are modifications of the standard GA set of recombination and mutation operators.

#### 2.3.1 Domain Knowledge

While no general algorithmic solutions to the *protein* folding problem exist today in spite of more than 30 years effort, a considerable body of knowledge has been amassed. A few examples follow:

- $\omega$  angles assume either a native state *cis* or *trans* orientation; i.e., a unique isomerization conformation for each residue [23]
- $\chi_1$  angles are usually -60, 60, 180 degrees  $\pm$  some deviation. One can also use data from *rotamer* libraries; i.e., libraries of known side-chain structures
- Certain values for  $\phi$  and  $\psi$  angle pair are frequently or rarely observed. These constraints can be visualized with a *Ramachandran* plot [26]

<sup>&</sup>lt;sup>1</sup> the five amino acids in [Met]-enkephalin are in order tyrosine, glycine, glycine, phenylalanine, methionine [26]

procedure Genocop III begin  $t \leftarrow 0$ , "t is number of generations" initialize  $P_s(t)$ initialize  $P_r(t)$ evaluate  $P_s(t)$ evaluate  $P_r(t)$ while (not termination-condition) do begin  $t \leftarrow t+1$ select  $P_s(t)$  from  $P_s(t-1)$ alter  $P_s(t)$ evaluate  $P_s(t)$ if  $t \mod k = 0$  then begin alter  $P_r(t)$ select  $P_r(t)$  from  $P_r(t-1)$ evaluate  $P_r(t)$ end end  $\mathbf{end}$ 

Figure 1: The structure of Genocop III

Assuming bond lengths and bond angles are held constant, the search space for the fixed geometry model is  $[-pi, pi]^n$  where n in the number of independent dihedral (or torsional) angles. Knowledge about the problem space can be used to constrain this search space. Most constraints can be expressed as nonlinear inequalities in one of the following generalized forms as developed by one of the authors, Kaiser[11]:

$$0 \le \cos(\theta - \frac{\theta_{min} + \theta_{max}}{2}) - \cos(\frac{\theta_{min} - \theta_{max}}{2}) \quad (4)$$

procedure evaluate  $P_s(t)$ begin for each  $\vec{s} \in P_s(t)$  do if  $\vec{s} \in \mathcal{F}$  "feasibility set" then evaluate  $\vec{s}$  (as  $f(\vec{s})$ ) else begin select  $\vec{r} \in P_r(t)$ generate  $\vec{z} \in \mathcal{F}$ evaluate  $\vec{s}$  (as  $f(\vec{z})$ ) if  $f(\vec{r}) > f(\vec{z})$  then replace  $\vec{r}$  by  $\vec{z}$  in  $P_r$ replace  $\vec{s}$  by  $\vec{z}$  in  $P_s$  with probability  $p_r$ end end

Figure 2: Evaluation of population  $P_s$  in Genocop III

Dihedral	Midpoint	Radius
$\Phi_{Non-glycine}$	-120	90
$\Phi_{Glycine}$	180	135
$\Psi$	60	150
Ω	180	20
$\chi_1$	$-60 \mid 60 \mid 180$	30

Table 2:	Tight	constraints for	Met	-enkephalin
----------	-------	-----------------	-----	-------------

Dihedral	Midpoint	Radius
$\Phi_{Non-glycine}$	-120	60
$\Phi_{Glycine}$	130	70
$\Psi$	150	140
Ω	180	12.5
$\chi_1$	-60   60   180	7.5

are the constraints for the  $\{\phi, \psi, \omega\}$  angles, and

$$0 \le \cos(3\theta - \frac{\theta_{min} + \theta_{max}}{2}) - \cos(\frac{\theta_{min} - \theta_{max}}{2}) \quad (5)$$

are the constraints for the  $\{\chi_1\}$  angles.

### 2.3.2 Constraint Sets

Our research focuses on computational science. Therefore, we freely admit than a biochemist or molecular modeler may develop a constraint set with greater fidelity for their special purpose. However, we claim that these constraint sets are "reasonable" for purposes of evaluating our search techniques since they also evolve from known biochemical relationships [26].

The "loose" constraints for [Met]-enkephalin (Table 1) were developed by examining Ramachandran plots of observed values of phi and psi angle for the residues alanine and glycine [4]. Of the twenty amino acids, proline and glycine have unique  $\phi\psi$  distributions. The other residues are similar to alanine. The "tight" constraints (Table 2) consider the above data and infer additional insights from "homologous" molecules.

Values for the Polyalanine constraints (Table 3) were developed in a similar way. It was known a priori that this molecule forms an  $\alpha$ -helix secondary structure. Thus a plot from Stryer's text [26] that specifies the  $\phi\psi$ region for an  $\alpha$ -helix was used. A similar process to that above was used for the "tight" constraints (Table 4). After consulting with biochemistry experts, a third set of constraints "tight, relaxed terminals" were defined. These are based on the knowledge that the dihedral angles for the terminals residues will not be consistent with the non-terminal angles even in a very regular secondary structure like an  $\alpha$ -helix. Same as "tight" except there are no constraints on residues 1 and 14.

Table 3: Tight constraints for Polyalanine

Dihedral	Midpoint	Radius
$\Phi$	-67.5	22.5
$\Psi$	-30	30
Ω	180	20
$\chi_1$	$-60 \mid 60 \mid 180$	30

Table 4: Tight constraints for Polyalanine

Dihedral	Midpoint	Radius
$\Phi$	-60	15
$\Psi$	-45	15
Ω	180	5
$\chi_1$	$-60 \mid 60 \mid 180$	5

#### 2.3.3 Input Parameter File

Sample inputs for a REGAL experiment are in Table 5. While Genocop-III introduces only a few new variables. However, there are now two populations and ten operators to control. Thus, there many times more permutations to the parameter mix. While initial results are encouraging, we plan additional study in this area to develop "better" parameter values.

#### 2.3.4 Operators

GENOCOP-III.1.0 currently uses 10 operators. They are:

- 1. Whole arithmetical crossover
- 2. Simple arithmetical crossover
- 3. Whole uniform mutation
- 4. Boundary mutation
- 5. Non-uniform mutation
- 6. Whole non-uniform mutation
- 7. Heuristic crossover
- 8. Gaussian mutation
- 9. Pool recombination operator
- 10. Scatter search operator

#### 2.4 Local Minimization

The CHARMM objective function defined by Equation 1 is such that all of its second partial derivatives exist and are continuous almost everywhere. That is, for each derivative the set of discontinuities is finite in this case. We have considered [18] three local minimization

 Table 5: Sample input parameters for Polyalanine

Table 6. Sample input parameters for rolya	
Total number of variables	56
Number of nonlinear equality constraints	0
Number of nonlinear inequality constraints	48
Number of linear inequality constraints	0
Number of variable constraints	56
Size of reference population	20
Size of search population	40
Number of operators	10
Number of total evaluations	20000
Period of evaluation of reference pop	24
Number of offspring made during each	
reference pop eval	10
Selection method of reference point	
to repair search point	1
Selection of repair method for	
search population	1
Init method for reference population	1
Init method for search population	1
Objective function type	1
Test case number	26
EPSILON for equalities	0.001
Random number seed 1	26482
Random number seed 2	13328
Operator frequency control	1

techniques which exploit to varying degrees this smoothness property along with the ready availability of software. The three deterministic local search approaches considered were the first derivative method, the critical point method and the exact second derivative method.

We selected a readily available implementation of the first derivative method known as the conjugate gradient technique [24]. This method was chosen since it is less computational expensive than the others for complete minimization execution per individual. Yet, it retains the minimization benefits for the hybrid GA approach. Here we modify the bracketing procedure used in the line minimizations. The standard bracketing procedure (mymnbrak.c) assumes that the domain of each of the independent variables is the set of all real numbers, whereas our independent variables assume values only in the interval  $[-\pi,\pi]$ . Consequently, the intervals produced by the standard procedure typically are not limited to the basin of attraction in which the encoded conformation lies. Our method heuristically corrects this problem by choosing an interval over which no dihedral angle varies by more than  $\frac{\pi}{6}$ . Neglecting nonbonded interactions, this guarantees that the bracketed interval is contained in the conformation's basin of attraction, and that it contains the local minimum along the direction of minimization.

Details of the CHARMM analytical gradient deriva-

Algorithm	Mean	Std. Dev.	RMSD Best
SGA	-22.58	1.57	4.51
Baldwinian	-22.57	1.62	3.96
Lamarckian	-28.35	1.29	3.33

Table 6: Final minimum energies (kcal/mol) for [Met]enkephalin using binary GA with FP selection

tion and our associated hybrid GA are presented in [18].

# 3 Results and Comparison

In this section we present the results of experiments on two separate molecular models, [Met]-enkephalin and a 14 residue model of Polyalanine. As previously published [18], fitness proportional (FP) selection with binary encoding has shown to be most effective for this particular problem, at least with respect to [Met]enkephalin. Thus this selection technique for binary encoding is compared with the REGAL approach.

## 3.1 [Met]-enkephalin

In general the hybrid GA has been more effective than the REGAL approach in minimizing [Met]-enkephalin with a best average of -28.35 kcal/mol (Table 6) verses -26.38 kcal/mol (Table7). However, the best over value, -30.32 kcal/mol, was a REGAL technique, no constraints with Lamarckian minimization. This single example demonstrates a potential for local minimization incorporated with REGAL. But in general, tighter constrains appear to interfere with local minimization. That is, a local minima is found during the initial evaluation from which the experiment is unable to escape. We suspect that as the ratio of feasible space  $\mathcal{F}$  to search space  $\mathcal{S}$  gets smaller, the operators are unable to generate a more fit "feasible" candidate.

It is interesting to note that conformers have been identified with values less than the accepted optimal conformation (CHARMM equivalent of the ECEPP/2 conformation of Li and Scheraga [15]). We have suspected the optimal conformation for ECEPP/2 and CHARMM are different-this was confirmed during the 1996 American Chemical Society National Meeting.

### 3.2 Polyalanine

The effectiveness of the Binary GA (even with minimization) did not hold for the larger molecule Polyalanine (Table 8). Significant improvement were observed when the *step* size in the conjugate gradient minimization was properly sized for for the larger molecule (another example of using "domain knowledge"). When examined visually, these conformations did not appear to be forming the expected  $\alpha$ -helix secondary structures.

Table 7: Final minimum energies (kcal/mol) for [Met]enkephalin using the REGAL approach

	Мали	041 D	DMCD D+
Algorithm	Mean	Std. Dev.	RMSD Best
No constraints	280.12	199.81	4.85
No constraints			
w/local min	-26.38	2.69	4.40
Loose constraints	-22.01	2.69	4.25
Loose constraints			
w/local min	-24.95	4.23	4.26
Tight constraints	-23.55	1.69	3.23
Tight constraints			
w/local min	-17.71	0.50	5.05

Table 8: Final minimum energies (kcal/mol) forPolyalanine using binary GA with FP selection

Algorithm	Mean	Std. Dev.	RMSD Best
SGA	-93.25	10.85	9.67
Baldwinian	-103.73	16.5	7.36
Lamarckian	-140.60	5.39	12.74
Lamarckian			
corrected	-308.51	8.26	5.03

With adequate domain knowledge, in the form of tight constraints, REGAL performs well on the larger molecule (Table 9). When allowed to reach 150,000 evaluations, the energy value is almost that of the optimal conformation with relaxation of bond lengths and bond angles. When examined visually, these conformations definitely formed the expected  $\alpha$ -helix secondary structures.

Again, local minimization was not effective when used in conjunction with constraints. This time, the difference between the results is more substantial.

Table 9: Final minimum energies (kcal/mol) for Polyalanine using the REGAL approach

Algorithm	Mean	Std. Dev.	RMSD Best
No constraints	-273.08	13.81	6.25
Loose constraints	-336.65	4.50	1.87
Loose constraints			
w/local min	-309.00	8.19	2.70
Tight constraints	-337.64	4.40	0.98
Tight constraints			
w/local min	-316.47	$0.0^{2}$	1.17
Tight constraints w/			
relaxed terminals	-338.30	4.24	1.42
Tight, relaxed			
150K evals	-351.76	0.57	1.40

### 3.3 Efficiency

The experiments in this paper were conducted on a variety of platforms. They include 368 node Paragon supercomputer, 100 and 200 mhz Silicon Graphics workstation, SUN Sparc workstations (2, 5, and 20), and SUN Ultra Sparc workstations. The bulk of the effort was accomplished in a common user lab of 46 networked Sparc20 workstations. As is to be expected, run times (wall clock) varied with system loading. However, a few general observations can be made:

Met -enkephalin

- Lamarckian Binary GA (10K evals)  $\approx$  13.3 hours
- REGAL (20/50K eval)  $\approx$  2 hours
- Polyalanine
  - Lamarckian Binary GA (10K evals)  $\thickapprox$  120 hours
  - Above on Ultra Sparc WS  $\approx$  45 hours
  - REGAL (20/50K eval)  $\approx$  4 hours

While results prove nothing, initial data suggest the REGAL approach scales better than the binary GA with local minimization. While the above times might seem excessive, it takes years to identify protein conformations using experimental methods such as crystallography.

# 4 Conclusions

The binary-valued Lamarckian GA algorithms obtained better energies than the simple GA and Baldwinian approach for the minimization of the CHARMM potential for [Met]-Enkephalin and Polyalanine using fitness proportionate selection. The effectiveness of the Lamarckian GA suggests that the low-energy local minima in the energy landscape of [Met]-Enkephalin may occur somewhat regularly within the conformation space. If this is the case for [Met]-Enkephalin, this approach may hold for larger polypeptides as well, however, this was not the case for the larger dimension Polyalanine. The REGAL approach achieved considerably better results. Thus, the use of our real-valued REGAL method may be appropiate for higher dimensional polypeptides since good minimum energy values for both [Met]-enkkephalin and Polyalanine were obtained. Moreover, the local minima for complex high-dimensional proteins may not appear regularly in the energy landscape indicating more difficult computations for more complex proteins. Note that the associated conformations of the two proteins reflected the general structural results of other researchers as indicated by reference.

Of course, determination of appropriate linear and non-linear constraints associated with polypeptide structure is critical to achieving low-energy conformations as shown in our REGAL experiments. In addition, replacement frequencies must be appropriate to the level of selective pressure in order to insure the presence of enough locally optimal individuals to prevent premature convergence. Thus, in the application of GAs to the specific protein folding problem (polypeptide structure prediction), the quest of at least some general GAs for solving a class of proteins continues. The ongoing results of our efforts tend to indicate that a REGAL approach may solve some restricted class of protein folding problems.

# 5 Future Directions

The results and conclusions of this effort indicated that real-valued GAs for solving the polypeptide structure problem have excellent potential. Also, the appropriate use of linear and nonliner constraints has considerable impact on population evolution and deserves to be furter investigated. Moreover, the appropriate use of real-valued GA operators has a very large impact on population and also deserves follow-on investigations. Comparing experimental energy data using statistical analysis is still to be accomplished.

The success of using binary encoded and real-valued GAs for the two proteins suggests their application to more complex protein folding problems. In applying GAs to more and more complex proteins, the use of constraints may be the only way of obtaining acceptable solutions due to the exponentially increasing number of local and golbal optimal. Such applications require additional computational platforms as found in highly scalable architertures. We have previously[6] used our own GA island and farming algorithms in solving the polypeptide structure problem for the two polypeptides and are now mapping the real-valued GA software to such platforms for structure prediction of complex polypeptides.

# References

- [1] Thomas Bäck. Evolutionary Algorithms in Theory and Practice. Oxford Press, New York, 1996.
- [2] Bernard R. Brooks et al. Charmm: A program for macromolecular energy, minimization, and dynamic calculations. *Journal of Computational Chemistry*, 4(2):187-217, 1983.
- [3] Hue Sun Chan and Ken A. Dill. The protein folding problem. *Physics Today*, pages 24–32, February 1993.

- [4] Thomas E. Creighton, editor. *Protein Folding*. W. H. Freeman, New York, 1992.
- [5] Thomas Dandekar and Patrick Argos. Potential of genetic algorithms in protein folding and protein engineering simulations. *Protein Engineering*, 5(7):637-645, 1992.
- [6] George H. Gates, Jr., Ruth Pachter, Laurence D. Merkle, and Gary B. Lamont. Parallel simple and fast messy GAs for protein structure prediction. In Proceedings of the Intel Supercomputer Users' Group 1995 Annual North America Users Conference, Beaverton, Oregon, 1995. Intel Supercomputer Systems Division.
- [7] David E. Goldberg. Genetic Algorithms in Search, Optimization, and Machine Learning. Addison-Wesley Publishing Company, Reading MA, 1989.
- [8] John H. Holland. Adaptation in Natural and Artificial Systems. MIT Press, Cambridge, MA, First MIT Press edition, 1992.
- [9] R. S. Judson, M. E. Colvin, J. C. Meza, A. Huffer, and D. Gutierrez. Do intelligent configuration search techniques outperform random search for large molecules? *International Journal of Quan*tum Chemistry, 44:277-290, 1992.
- [10] Richard S. Judson. Teaching polymers to fold. The Journal of Physical Chemistry, 96(25):10102, 1992.
- [11] Charles E. Kaiser, Jr. Exploting domain knowledge in genetic algorithms for polypeptide structure prediction. Master's thesis, Air Force Institute of Technology, 1996. In Preparation.
- [12] Stuart A. Kauffman. The Origins of Order. Oxford Press, New York, 1993.
- [13] Jr. Kenneth M. Merz and Scoot M. LeGrand. The Protein Folding Problem and Tertiary Structure Prediction. Birhäuser, Boston, 1994.
- [14] Scott M. LeGrand and Kenneth M. Merz Jr. The application of the genetic algorithm to the minimization of potential energy functions. *Journal of Global Optimization*, 3:49-66, 1991.
- [15] Zhenqin Li and Harold A. Scheraga. Monte carlominimization approach to the multiple-minima problem in protein folding. *Proceedings of the National Academy of Science USA*, 84:6611–6615, 1987.
- [16] D.B. McGarrah and R.S. Judson. Analysis of the genetic algorithm method of molecular conformation determination. *Journal of Computational Chemistry*, 14(11):1385-1395, 1993.

- [17] Laurence D. Merkle, George H. Gates, Jr., Gary B. Lamont, and Ruth Pachter. Hybrid genetic algorithms for minimization of a polypeptide specific energy model. In *Proceedings of the Third IEEE Conference on Evolutionary Computation*, 1996.
- [18] Laurence D. Merkle, Robert L. Gaulke, George H. Gates, Jr., Gary B. Lamont, and Ruth Pachter. Hybrid genetic algorithms for polypeptide energy minimization. In Applied Computing 1996: Proceedings of the 1996 Symposium on Applied Computing, New York, 1996. The Association for Computing Machinery.
- [19] Zbigniew Michalewicz. Genetic Algorithms + Data Structures = Evolution Programs. Springer, second edition, 1994.
- [20] Zbigniew Michalewicz and Girish Nazhiyath. Genocop iii: A co-evolutionary algorithm for numerical optimization. In Proceedings of the 2nd IEEE International Conference on Evolutionary Computation, volume 2, pages 647–651, 1995.
- [21] Thierry Montcalm, Weili Cui, Hong Zhao, Frank Guarnieri, and Stephen R. Wilson. Simulated annealing of met-enkephalin: low-energy states and their relevance to membrane-bound, solution and solid-state conformations. *Molecular Structure* (*Theochem*), 308:37–51, 1994.
- [22] Akbar Nayeem, Jorge Vila, and Harold A. Scheraga. A comparative study of the simulatedannealing and Monte Carlo-with-minimization approaches to the minimum-energy structures of polypeptides: [Met]-Enkephalin. Journal of Computational Chemistry, 12(5):594-605, 1991.
- [23] Roger H. Pain, editor. Mechanisms of Protein Folding. IRL Press, New York, 1994.
- [24] William H. Press, Saul A. Teukolsky, William T. Vetterling, and Brian P. Flannery. Numerical Recipes in C: The Art of Scientific Computing. Cambridge University Press, New York, second edition, 1992.
- [25] Steffen Schulze-Kremer. Genetic algorithms for protein tertiary structure prediction. pages 129– 149. IOS Press, Amsterdam, 1993.
- [26] Lubert Stryer. Biochemistry (4th Edition). W. H. Freeman, New York, 1995.
- [27] Shaojian Sun. Reduced representation model of protein structure prediction: Statistical potential and genetic algorithms. *Protein Science*, 2:762– 785, 1993.

- [28] H. Bradford Thompson. Calculation of cartesian coordinates and their derivatives from internal molecular coordinates. *The Journal of Chemical Physics*, 47(9):3407–3410, November 1967.
- [29] P. Tuffery, C. Etchebest, S. Hazout, and R. Lavery. A new approach to the rapid determination of protein side chain conformations. *Journal of Biomolecular Structure & Dynamics*, 8(6):1267, 1991.
- [30] Ron Unger and John Moult. Genetic algorithms for protein folding simulations. Journal of Molecular Biology, 231:75-81, 1993.
- [31] Maximiliano Vásquez, G. Némethy, and H. A. Scheraga. Conformational energy calculations on polypeptides and proteins. *Chemical Reviews*, 94:2183–2239, 1994.
- [32] Darrell Whitley, V. Scott Gordon, and Keith Mathias. Lamarckian evolution, the Baldwin effect and function optimization. In Reinhard Männer and Bernard Manderick, editors, *PPSN2*, pages 6–15, Amsterdam, September 1992. North-Holland.